

# 1 A physical model for strain accumulation in the San Francisco 2 Bay region: Stress evolution since 1838

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6 [1] Understanding of the behavior of plate boundary zones has progressed to the point  
7 where reasonably comprehensive physical models can predict their evolution. The San  
8 Andreas fault system in the San Francisco Bay region (SFBR) is dominated by a few  
9 major faults whose behavior over about one earthquake cycle is fairly well understood. By  
10 combining the past history of large ruptures on SFBR faults with a recently proposed  
11 physical model of strain accumulation in the SFBR, we derive the evolution of regional  
12 stress from 1838 until the present. This effort depends on (1) an existing compilation of  
13 the source properties of historic and contemporary SFBR earthquakes based on  
14 documented shaking, geodetic data, and seismic data [Bakun, 1999] and (2) a few key  
15 parameters of a simple regional viscoelastic coupling model constrained by recent GPS  
16 data [Pollitz and Nyst, 2004]. Although uncertainties abound in the location, magnitude,  
17 and fault geometries of historic ruptures and the physical model relies on gross  
18 simplifications, the resulting stress evolution model is sufficiently detailed to provide a  
19 useful window into the past stress history. In the framework of Coulomb failure stress, we  
20 find that virtually all  $M \geq 5.8$  earthquakes prior to 1906 and  $M \geq 5.5$  earthquakes after  
21 1906 are consistent with stress triggering from previous earthquakes. These events  
22 systematically lie in zones of predicted stress concentration elevated 5–10 bars above the  
23 regional average. The SFBR is predicted to have emerged from the 1906 “shadow” in  
24 about 1980, consistent with the acceleration in regional seismicity at that time. The stress  
25 evolution model may be a reliable indicator of the most likely areas to experience  $M \geq 5.5$   
26 shocks in the future. **INDEX TERMS:** 1206 Geodesy and Gravity: Crustal movements—interplate  
27 (8155); 1236 Geodesy and Gravity: Rheology of the lithosphere and mantle (8160); 1243 Geodesy and  
28 Gravity: Space geodetic surveys; **KEYWORDS:** crustal deformation, plate boundary zones, viscoelastic  
29 relaxation, San Francisco Bay Region

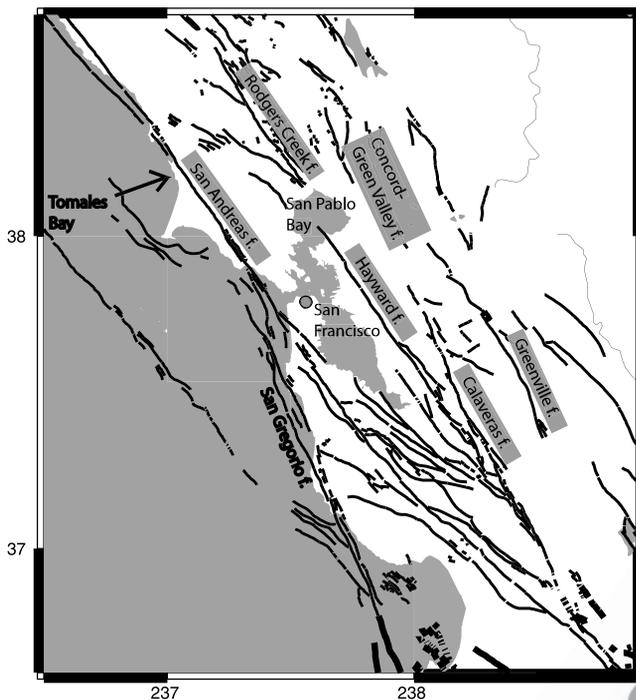
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## 33 1. Introduction

34 [2] The San Francisco Bay region (SFBR) is part of the  
35 San Andreas fault system in northern California (Figure 1),  
36 which accommodates a total of approximately 38 mm/yr  
37 right-lateral strike-slip motion across the multiple fault  
38 strands which traverse the region [Savage *et al.*, 1998;  
39 Argus and Gordon, 2001; Murray and Segall, 2001;  
40 Prescott *et al.*, 2001]. Historical seismicity in the SFBR  
41 exhibits striking patterns that have attracted considerable  
42 attention in recent years. The region has experienced several  
43 large earthquakes since 1769 [Ellsworth, 1990], and the  
44 catalog of SFBR earthquakes is likely complete for moment  
45 magnitude  $M \geq 5.5$  since 1850 [Bakun, 1999]. As docu-  
46 mented by Bakun [1999], the distribution of earthquakes  
47 since 1836 reveals that (1) the rate of  $M \geq 6.5$  earthquakes  
48 since 1836 is approximately one every 30 years, (2) the  
49 production rate of  $M \geq 5.5$  earthquakes in the 56 years

prior to the 1906 San Francisco earthquake was much 50  
higher than in the 70 years following it but the 1850– 51  
1906 moment release rate is about the same as that since 52  
1977, and (3) large earthquakes have occurred not only on 53  
the dominant fault strand (San Andreas fault) but also on 54  
several subparallel fault strands. 55

[3] The moment release rate across the region, most of 56  
which is due to  $M \geq 6.5$  earthquakes, is consistent with the 57  
buildup of strain that would be expected since 1836 given 58  
the  $\sim 38$  mm/yr Pacific-Sierra Nevada/Great Valley (SNGV) 59  
relative plate motion. The contrast in seismicity rate during 60  
the period prior to the 1906 earthquake versus the period 61  
following it has been interpreted to be the result of the static 62  
Coulomb stress change imparted by the 1906 earthquake, 63  
which reduced much of the accumulated tectonic stress and 64  
cast the region into a “stress shadow” [Jaume and Sykes, 65  
1996; Harris and Simpson, 1998]. Smaller shadows were 66  
cast by other large historic events such as the 1838 SF 67  
Peninsula and 1868 Hayward fault earthquakes [Jaume and 68  
Sykes, 1996], though their inhibiting effects on regional 69  
seismicity were only about 10–15 years. The occurrence of 70



**Figure 1.** Map of San Francisco Bay region indicating major faults.

71 large earthquakes on faults other than the San Andreas fault, e.g., the Rodgers Creek, Hayward, and Calaveras faults, is recognized not only in the historical record but also in the paleoseismic record [Kelson et al., 1992; Schwartz et al., 1998; Lienkaemper et al., 2002]. Analysis of geodetic data indicates that the San Andreas fault accommodates approximately 60% of the strain buildup that is eventually released in earthquakes, with 40% accommodated by other faults [Savage et al., 1999; Murray and Segall, 2001]. Since the strike of the San Andreas fault in the SFBR is about 10 counterclockwise of the expected local Pacific-SNGV plate velocity vector [Argus and Gordon, 2001], the other faults help relieve the consequent buildup of fault-parallel plus fault-normal convergence by accommodating primarily strike-slip motion on fault strands parallel to the Pacific-SNGV velocity vector.

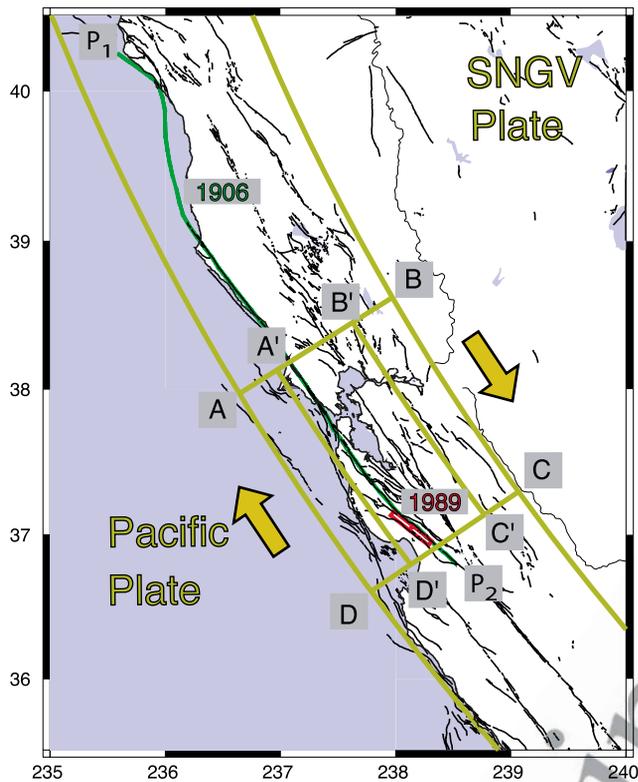
87 [4] The pattern of earthquake occurrence in the SFBR has more subtle details than just the 1906 static stress shadow effect documented to have inhibited 20th century seismicity. For example, Jaume and Sykes [1996] suggested that the acceleration in seismicity in the region from 1979 to 1989 is likely (at least in part) due to the erosion of the 1906 stress shadow by steady tectonic strain accumulation since 1906. Simpson and Reasenber [1994] analyzed the static Coulomb stress changes imparted by the 1989 Loma Prieta earthquake. They found that static stress changes both encouraged and inhibited subsequent earthquake activity on neighboring faults. This finding was verified and analyzed in greater detail by Parsons et al. [1999]. Simpson and Reasenber [1994], Galehouse [1997], and Lienkaemper et al. [1997] also established that the creeping parts of the San Andreas fault system responded with an acceleration/deceleration in a manner consistent with the stress-

104 triggered local seismicity rate changes. Harris and Simpson 105 [1998] suggested that the occurrence of an earthquake in 1911 on the Calaveras fault, well within the 1906 stress 106 shadow, could be explained by rate- and state-dependent 107 friction effects. 108

[5] The above studies have addressed some aspects of the 109 historical record and interpreted them with the static stress 110 change from a few large historic earthquakes and rate and 111 state friction effects, but several intrinsic features of the 112 observational record remain unexplained: (1) The rationalization of all  $M > \sim 6$  earthquakes since about 1838 in terms 113 of candidate physical mechanisms has not been pursued, 114 (2) other physical processes, particularly viscoelastic relaxation of the lower crust and upper mantle following large 115 earthquakes [Thatcher, 1983] has received, with few exceptions 116 [Kenner and Segall, 1999; Parsons, 2002], little attention 117 in the context of SFBR seismicity patterns, and (3) a very 118 specific form of background Pacific-SNGV tectonic loading 119 has been usually employed, namely, that in which faults 120 are loaded by steady creep below a certain locking depth. 121 122

[6] Both Kenner and Segall [1999] and Parsons [2002] 123 employed a finite element model that included loading 124 of the SAF system through shear transmitted across the 125 Pacific-SNGV plate boundary zone, as well as viscoelastic 126 relaxation effects of the 1906 earthquake. Kenner and Segall 127 [1999] examined candidate two-dimensional viscoelastic 128 models of the lower crust constrained by strain measurements 129 conducted since 1906, and they implemented the 130 1906 rupture in a two-dimensional geometry (i.e., infinitely 131 long fault). Parsons [2002] implemented SFBR faults as 132 three-dimensional (3-D) fault surfaces and employed and 133 validated a temperature-derived, one-dimensional viscosity 134 structure using recently collected GPS data. His model 135 was further validated by matching long-term slip rates of 136 SFBR faults with appropriate choices of the coefficient of 137 friction governing the behavior of each fault in the system. In 138 order to predict post-1906 stress evolution, post-1906 relaxation 139 effects were evaluated in the presence of continually 140 slipping faults controlled by their respective coefficients of 141 friction. In effect, regional faults were not considered locked 142 when evaluating stress evolution. 143 144

[7] In this paper we implement faults as 3-D planar 145 dislocation surfaces which occupy the elastic portion of a 146 vertically stratified viscoelastic medium (i.e., elastic upper 147 crust overlying a stratified viscoelastic plastosphere). Fault 148 surfaces accommodate shear dislocations at the time of an 149 earthquake, and during the period after an earthquake, the 150 plastosphere relaxes with the faults locked until the next 151 earthquake. We compile the relevant historical earthquakes 152 that have affected the SFBR since 1838. Using these earthquakes 153 as sources of deformation in the framework of 154 Coulomb failure theory, we analyze the occurrence of 155 moderate to large earthquakes since 1838 to test whether 156 they are consistent with stress triggering from preceding 157 earthquakes. This analysis depends on the determination of 158 time-dependent stress on a representative regional viscoelastic 159 model that is driven by a combination of background 160 tectonic loading and relaxation of the plastosphere. Assumption 161 of uniform stress levels in the region prior to 162 1838 is implicit. One can imagine a pathological state of 163 stress before 1838 that would nullify the chief characteristics 164 of the stress fields to be presented here. It is beyond 165



**Figure 2.** SNGV-Pacific plate boundary zone delineated by two small circles about a pole  $\Omega_1$  located at  $46^\circ\text{N}$ ,  $100^\circ\text{W}$ . The spherical rectangles defined by points A–B–C–D and A'–B'–C'–D' indicate that portion of the plate boundary zone in the SFBR spanning its entire width and its central part, respectively.  $P_1$  and  $P_2$  are the endpoints of the 1906 rupture (slip distribution given in Figure 10).

166 the scope of this paper to address the effect that possible  
 167 pre-1838 perturbations would have had on subsequent stress  
 168 evolution, except to note that the magnitude of such  
 169 perturbations might be expected to be small based on the  
 170 absence of  $M \geq 7$  earthquakes between 1776 and 1838  
 171 [Ellsworth, 1990] and smoothing of long-wavelength stress  
 172 fluctuations that is theoretically expected to occur in the  
 173 absence of large earthquakes [Ben-Zion et al., 2003].

174 [8] In section 2 we describe the elements of the physical  
 175 model that are needed to estimate the regional stress  
 176 evolution from the history of past earthquakes. In section 3  
 177 we present the regional stress evolution using a single  
 178 measure, the accumulated change in the Coulomb failure  
 179 function since 1838, followed in section 4 by a discussion  
 180 of the correlation of the predicted stress pattern with the  
 181 observed pattern of potentially triggered earthquakes. We  
 182 find that virtually all moderate to large regional earthquakes  
 183 since 1838 are located in areas that are loaded 5 to 10 bars  
 184 above the regional average.

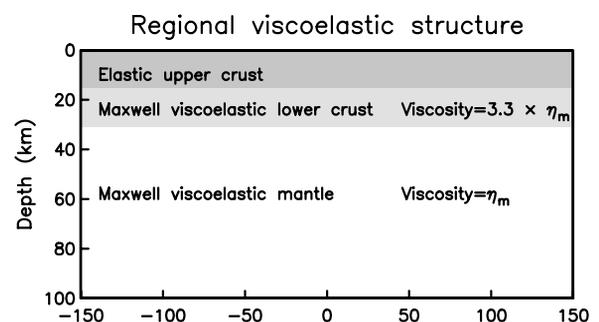
## 185 2. Ingredients of SFBR Active Deformation

### 186 2.1. Physical Model

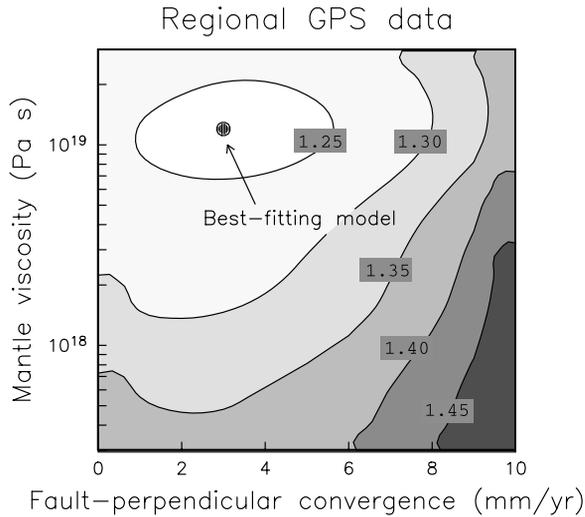
187 [9] A complete description of the processes of tectonic  
 188 loading, stress changes due to earthquakes, and subse-  
 189 quent relaxation of a 3-D viscoelastic Earth is presently a

very challenging task. Pollitz and Nyst [2004, hereafter 190  
 PN04] proposed a useful approximate solution: a physical 191  
 model for strain accumulation in which the SFBR is 192  
 regarded as a uniform width plate boundary zone (Figure 2) 193  
 with relatively thin, pliable lithosphere, surrounded by 194  
 relatively nondeformable Pacific and SNGV lithosphere 195  
 due to their greater lithospheric thickness. The plate 196  
 boundary zone (PBZ) is assumed to have laterally homo- 197  
 geneous material properties. It consists of an upper elastic 198  
 layer underlain by viscoelastic lower crust and upper 199  
 mantle (Figure 3). The PBZ is loaded by predominantly 200  
 horizontal shear transmitted by the Pacific-SNGV relative 201  
 motion plus a minor amount of regional compression. This 202  
 is expressed through constant velocity boundary conditions 203  
 on the Pacific-PBZ and PBZ-SNGV edges. Sources of 204  
 deformation include earthquakes, which occur episodically, 205  
 associated postseismic relaxation, and steady fault creep. 206  
 Earthquakes are implemented as dislocations on 3-D fault 207  
 planes embedded in a vertically stratified (1-D) viscoelastic 208  
 Earth model. 209

[10] Previous modeling of the regional stress evolution 210  
 [Jaume and Sykes, 1996; Murray and Segall, 2001] has 211  
 assumed that regional faults are loaded by deep slip beneath 212  
 a “locking depth,” above which the faults are locked during 213  
 the interseismic period. An alternative framework is provided 214  
 by the viscoelastic coupling model [Savage and 215  
 Prescott, 1978] in which an infinitely long strike-slip fault 216  
 occupying an upper elastic layer slips periodically. The 217  
 system evolves as the underlying ductile “plastosphere” 218  
 relaxes following each slip event. Depending on the vis- 219  
 cosity of the plastosphere, the stress evolution at a particular 220  
 point may be approximately linear (large Maxwell relaxa- 221  
 tion time) or highly nonlinear (small Maxwell relaxation 222  
 time). In the context of the viscoelastic coupling model, 223  
 Savage et al. [1999] pointed out that the expedient of using 224  
 a locking depth model of strain accumulation around a 225  
 strike-slip fault is valid only if the mean recurrence interval 226  
 of the fault is shorter than the Maxwell relaxation time of 227  
 the plastosphere. When this condition is met, the average 228  
 interseismic velocity during a cycle is well approximated by 229  
 plastosphere relaxation from past earthquakes without the 230  
 need to invoke steady slip beneath a locking depth. Visco- 231  
 elastic coupling models are further attractive because they 232  
 capture the variation in velocity during a cycle [Thatcher, 233  
 1983]. A variation of the viscoelastic coupling model allows 234



**Figure 3.** One-dimensional viscoelastic stratification of the SFBR assumed in this study, following model B of Pollitz et al. [1998].



**Figure 4.** Results of grid search for  $\eta_m$  and  $v_2$  to minimize reduced  $\chi^2$  for a GPS velocity field covering the time period 1994–2001 [Pollitz and Nyst, 2004]. The best fitting model is obtained at  $\eta_m = 1.2 \times 10^{19}$  Pa s and  $v_2 = 2.9$  mm/yr.

235 for the presence of a finite width shear zone that bounds a  
 236 weak lithosphere-plastosphere system [Pollitz, 2001]. In the  
 237 case of a two-dimensional strike-slip fault geometry, this  
 238 model prescribes loading of the PBZ through horizontal  
 239 forces transmitted at the edges of the PBZ, and it allows  
 240 simultaneously for plastosphere relaxation following earth-  
 241 quakes and constant velocity boundary conditions at the  
 242 PBZ edges. Except on specified creeping segments, faults  
 243 are considered locked during interseismic intervals. In the  
 244 finite fault geometries to be modeled here, we employ  
 245 an exact solution for plastosphere relaxation following  
 246 imposed earthquakes combined with an approximate  
 247 matching of the boundary conditions at these edges.

248 [11] There is a strong contrast in material properties  
 249 between the PBZ and the surrounding plates which have  
 250 much thicker lithosphere. In principle, the equations of  
 251 quasi-static equilibrium should be solved on this 3-D  
 252 heterogeneous viscoelastic system subject to the back-  
 253 ground velocity conditions. PN04 found a solution which  
 254 satisfies the equations of quasi-static equilibrium within the  
 255 PBZ plus the corresponding boundary conditions to a high  
 256 degree of accuracy; very small mismatches remain only at  
 257 the boundaries that divide the Pacific plate from the PBZ  
 258 and SNGV plate from the PBZ, and these are considered  
 259 inconsequential since they are far from the central part of  
 260 the PBZ where velocities and stresses are to be evaluated.  
 261 The approximate solution utilizes a superposition of a  
 262 known viscoelastic solution [Pollitz, 1997] and static  
 263 solution [Pollitz, 1996] for deformation from prescribed  
 264 dislocation sources on a laterally homogeneous model, plus  
 265 additional elementary solutions to construct a velocity field  
 266 that deviates from the exact solution only in small time-  
 267 dependent mismatches in the boundary conditions in the  
 268 shear or contractile components. For a prescribed history of  
 269 earthquakes this solution, which is described in detail in  
 270 PN04, yields time-dependent velocity and stress fields  
 271 within the PBZ, and it forms the basis for the modeling to  
 272 be described.

[12] Briefly summarizing the method of PN04, the time- 273  
 dependent velocity field  $\mathbf{v}(\mathbf{r}, t)$  at points  $\mathbf{r}$  within the PBZ 274  
 has the form 275

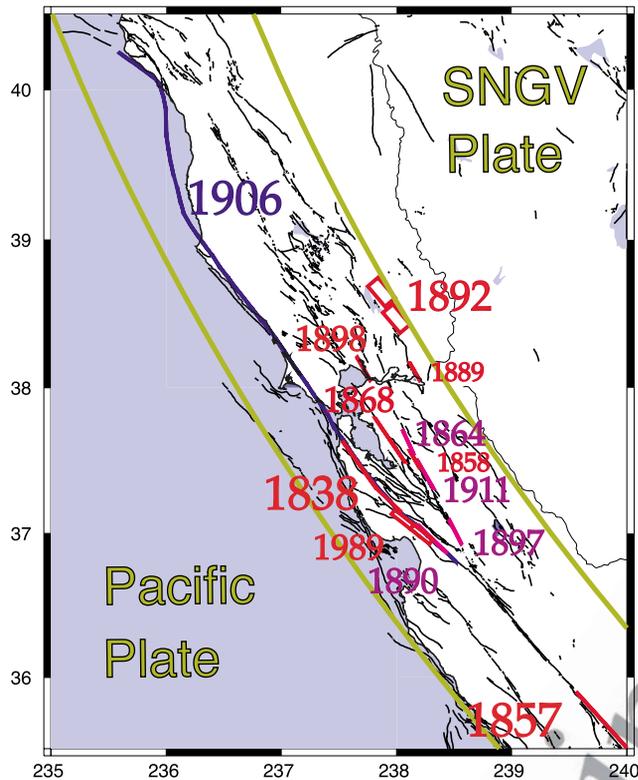
$$\mathbf{v}(\mathbf{r}, t) = \sum_i \mathbf{v}_{ps}^{(i)}(\mathbf{r}, t) + \sum_j \mathbf{v}_{cr}^{(j)}(\mathbf{r}) + v_1(t) \left( \frac{\delta}{W} \right) \hat{\mathbf{r}} \times \frac{\hat{\Omega}_1}{|\hat{\mathbf{r}} \times \hat{\Omega}_1|} + v_2 \left( \frac{\delta}{W} \right) \hat{\mathbf{r}} \times \hat{\Omega}_2 + \hat{\mathbf{r}} \times \boldsymbol{\Omega}(t) \quad (1)$$

where  $\delta$  and  $W$  represent the distance of the observation 277  
 point from the SNGV plate boundary and the width of the 278  
 PBZ, respectively. This expresses the total velocity field as 279  
 a sum of five components: (1)  $\sum_i \mathbf{v}_{ps}^{(i)}(\mathbf{r}, t)$ , the combined 280  
 postseismic relaxation from past events calculated on the 281  
 laterally homogeneous model, (2)  $\sum_j \mathbf{v}_{cr}^{(j)}(\mathbf{r})$ , the sum of 282  
 steady creep effects from a collection of creeping faults, 283  
 (3)  $v_1(t) (\delta/W) \hat{\mathbf{r}} \times \hat{\Omega}_1 / |\hat{\mathbf{r}} \times \hat{\Omega}_1|$ , simple shear within the PBZ 284  
 (arbitrary time dependence) with net velocity  $v_1$  accom- 285  
 modated across the PBZ, (4)  $v_2 (\delta/W) \hat{\mathbf{r}} \times \hat{\Omega}_2$ , uniform 286  
 uniaxial compression along a direction perpendicular to the 287  
 local trend of the plate boundary, with a net convergence 288  
 rate of  $v_2$  (assumed constant with time) accommodated 289  
 across the PBZ, and (5) rigid rotation about an Euler pole  $\boldsymbol{\Omega}$  290  
 (arbitrary time dependence). 291

[13] PN04 used recent GPS measurements from 1994 to 292  
 2001 to calibrate this model. The poles  $\hat{\Omega}_1$  and  $\hat{\Omega}_2$  were 293  
 specified a priori:  $\hat{\Omega}_1$  lies near the SNGV-Pacific Euler pole, 294  
 and  $\hat{\Omega}_2$  is defined to lie  $90^\circ$  away from the PBZ along a great 295  
 circle that passes through the PBZ and is locally tangent to it 296  
 (PN04). The GPS measurements serve to simultaneously 297  
 determine the viscoelastic stratification (i.e., value of  $\eta_m$ ) 298  
 and the net PBZ-perpendicular velocity  $v_2$  (assumed inde- 299  
 pendent of time). Then for a given past history of earth- 300  
 quakes PN04 solved for average  $v_1(t)$  (for the 1994 to 2001 301  
 time period) and average  $\boldsymbol{\Omega}(t)$  (three components) which 302  
 best satisfied constant velocity boundary conditions on the 303  
 Pacific and SNGV plate boundary edges in a least squares 304  
 sense. More precisely, for the 1994–2001 time period both 305  
 the mantle viscosity value  $\eta_m$  and the net PBZ-perpendicular 306  
 velocity  $v_2$  were determined in a grid search simultaneously 307  
 with average  $v_1(t)$  and  $\boldsymbol{\Omega}(t)$ . The minimum misfit region 308  
 obtained in the grid search corresponds to (Figure 4)  $\eta_m =$  309  
 $1.2 + 6/-4 \times 10^{19}$  Pa s and  $v_2 = 3 \pm 1.5$  mm/yr (quoted 310  
 errors are one standard deviation). We have carried this 311  
 procedure further by fixing  $\eta_m$ ,  $\hat{\Omega}_1$  and  $\hat{\Omega}_2$ , and  $v_2$  at the 312  
 values determined by PN04, then applying constant velocity 313  
 boundary conditions within selected time intervals since an 314  
 initial time (1838) to derive the required  $v_1(t)$  and  $\boldsymbol{\Omega}(t)$ . 315

[14] The time-dependent displacement field  $\mathbf{u}(\mathbf{r}, t)$  is 316  
 obtained by integrating equation (1) with respect to time 317  
 and including the elastic deformation fields resulting from 318  
 coseismic effects of earthquakes. Let  $t_0$  be the initiation time 319  
 of the system and  $\{t_i\}$  a set of occurrence times of the source 320  
 earthquakes. Then 321

$$\mathbf{u}(\mathbf{r}, t) = \sum_i^{t_i < t} \mathbf{u}_i(\mathbf{r}) + \sum_i \int_{t_0}^t \mathbf{v}_{ps}^{(i)} \cdot \text{sup}(i)(\mathbf{r}, t') dt' + (t - t_0) \cdot \sum_j \mathbf{v}_{cr}^{(j)}(\mathbf{r}) + \int_{t_0}^t v_1(t') dt' \left( \frac{\delta}{W} \right) \hat{\mathbf{r}} \times \frac{\hat{\Omega}_1}{|\hat{\mathbf{r}} \times \hat{\Omega}_1|} + v_2(t - t_0) \left( \frac{\delta}{W} \right) \hat{\mathbf{r}} \times \hat{\Omega}_2 + \int_{t_0}^t \hat{\mathbf{r}} \times \hat{\Omega}_2(t') dt' \quad (2)$$



**Figure 5.** Source earthquakes used in this study. This includes all  $M \geq 6.2$  earthquakes listed in Table 2 of Bakun [1999], except for the omission of the 8 October 1865  $M = 6.5$  earthquake and the inclusion of two smaller historical events on the Calaveras fault near one another which together define an equivalent larger event: the 5 March 1864  $M = 5.9$  and 21 May 1864  $M = 5.6$  earthquakes. (Different colors for fault segments are used to help distinguish among them.)

323 Here  $\mathbf{u}_i$  represents the elastic displacement field resulting  
 324 from the  $i$ th source earthquake. Equation (2) allows us to  
 325 obtain a time-dependent stress tensor  $\sigma(\mathbf{r}, t)$  that will be  
 326 utilized in analysis of fault interaction in the SFBR in  
 327 section 3.

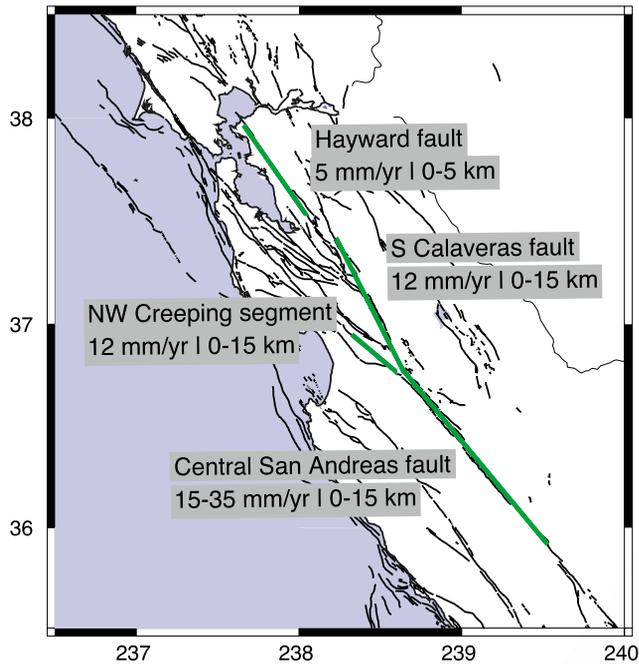
## 2.2. Sources of Deformation

328

[15] Fifteen earthquakes of magnitude  $M \geq 6.2$  occurring  
 329 from 1838 to 1989 (Figure 5) are used as sources of  
 330 deformation in this study. Corresponding source parameters  
 331 are listed in Table 1. The locations and magnitudes of  
 332 historical events (prior to about 1943 when routine deter-  
 333 mination of magnitude at Berkeley started) are generally  
 334 uncertain. A typical uncertainty in epicenter location and  
 335 magnitude are  $\pm 10$  km and  $\pm 0.2$  magnitude units, respec-  
 336 tively [Bakun, 1999]. For larger events ( $M > \sim 6.7$ ), not  
 337 only location but fault dimensions become important, and  
 338 there is generally little guidance to the precise locations of  
 339 the slip planes involved with the event. For most events we  
 340 follow Bakun [1998, 1999] in assigning source parameters  
 341 (fault length, dip, upper and lower edge depth, slip) to the  
 342 events. Two smaller events which occurred in close prox-  
 343 imity to one another on the Calaveras fault, the March, 1864  
 344  $M = 6.0$  and May, 1864  $M = 5.8$  events, are included as they  
 345 could be grouped into a single larger event. For the 31  
 346 March 1898  $M = 6.3$  Mare Island event we have chosen a  
 347 location at the mouth of the Napa River based on docu-  
 348 mented damage to Mare Island; this is similar to scenario B  
 349 of Bakun [1998]. For the June 1838 Peninsula earthquake,  
 350 which has a range of possible magnitudes from 6.8 to 7.5  
 351 [Topozada and Borchardt, 1998; Bakun, 1999], we are  
 352 guided by three pieces of evidence: (1) the earthquake did  
 353 not apparently rupture north of the Golden Gate [Topozada  
 354 and Borchardt, 1998], (2) shaking was strong in both  
 355 Oakland (MMI VII) and Monterey Bay (MMI VI 1/2),  
 356 and (3) no surface slip has been detected at Grizzly Flat  
 357 on the San Andreas fault south of Woodside [Schwartz et al.,  
 358 1998]. On the basis of these considerations we choose a  
 359 fault length of 75 km extending from the San Francisco  
 360 peninsula southward to just north of Grizzly Flat (Figure 5),  
 361 corresponding to a  $M = 7.1$  event. We find that the chosen  
 362 fault dimensions yield long-lived stress patterns that lead, in  
 363 particular, to a stress maximum near the future rupture zones  
 364 of large earthquakes in the southern Santa Cruz mountains  
 365 (in 1865 and 1989; section 3.2). If a longer fault length had  
 366 been chosen (extending farther toward the southeast), we  
 367 find that the resulting stress patterns would be inconsistent  
 368 with the occurrences of the 1865 and 1989 earthquakes.  
 369

t1.1 **Table 1.** Large Historical Earthquakes

| t1.2  | Earthquake    | Fault Type        | $M_0, 10^{20}$ N m | Magnitude | Reference                     |
|-------|---------------|-------------------|--------------------|-----------|-------------------------------|
| t1.3  | June 1838     | strike-slip       | 0.75               | 7.2       | Tuttle and Sykes [1992]       |
| t1.4  |               |                   | 0.18               | 6.8       | Bakun [1999]                  |
| t1.5  |               |                   | 2.00               | 7.5       | Topozada and Borchardt [1998] |
| t1.6  | January 1857  | strike-slip       | 10.00              | 8.0       | Sieh [1978]                   |
| t1.7  | November 1858 | strike-slip       | 0.03               | 6.3       | Bakun [1999]                  |
| t1.8  | March 1864    | strike-slip       | 0.01               | 6.0       | Topozada et al. [2002]        |
| t1.9  | May 1864      | strike-slip       | 0.006              | 5.8       | Topozada et al. [2002]        |
| t1.10 | October 1868  | strike-slip       | 0.30               | 7.0       | Yu and Segall [1996]          |
| t1.11 | May 1889      | strike-slip       | 0.03               | 6.3       | Bakun [1999]                  |
| t1.12 | April 1890    | strike-slip       | 0.03               | 6.3       | Bakun [1999]                  |
| t1.13 | April 1892    | two thrust events | 0.08               | 6.5       | O'Connell et al. [2001]       |
| t1.14 |               |                   | 0.03               | 6.3       |                               |
| t1.15 | June 1897     | strike-slip       | 0.03               | 6.3       | Bakun [1999]                  |
| t1.16 | March 1898    | strike-slip       | 0.06               | 6.5       | Topozada et al. [2002]        |
| t1.17 |               |                   | 0.03               | 6.3       | Bakun [1999]                  |
| t1.18 | April 1906    | strike-slip       | 8.20               | 7.9       | Thatcher et al. [1997]        |
| t1.19 | July 1911     | strike-slip       | 0.02               | 6.2       | Bakun [1999]                  |
| t1.20 | October 1989  | oblique-slip      | 0.26               | 6.9       | Marshall et al. [1991]        |



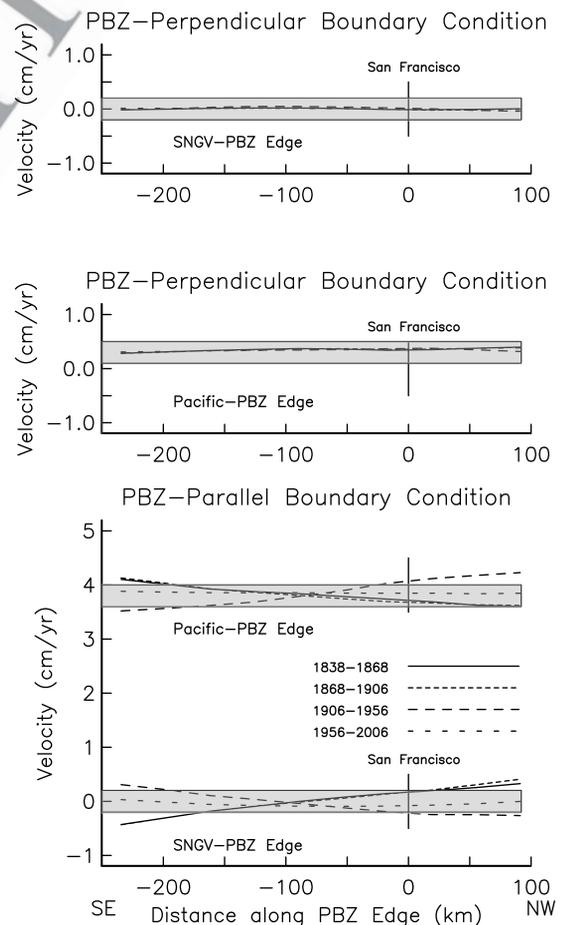
**Figure 6.** Surface traces of creeping faults. The depth range and value of steady slip are assigned as indicated.

370 This is in harmony with the independent finding of *Fumal et al.* [2003] that the 1838 earthquake is not recognized in the paleoseismic record of the San Andreas fault near Pajaro Gap in the southern Santa Cruz mountains.

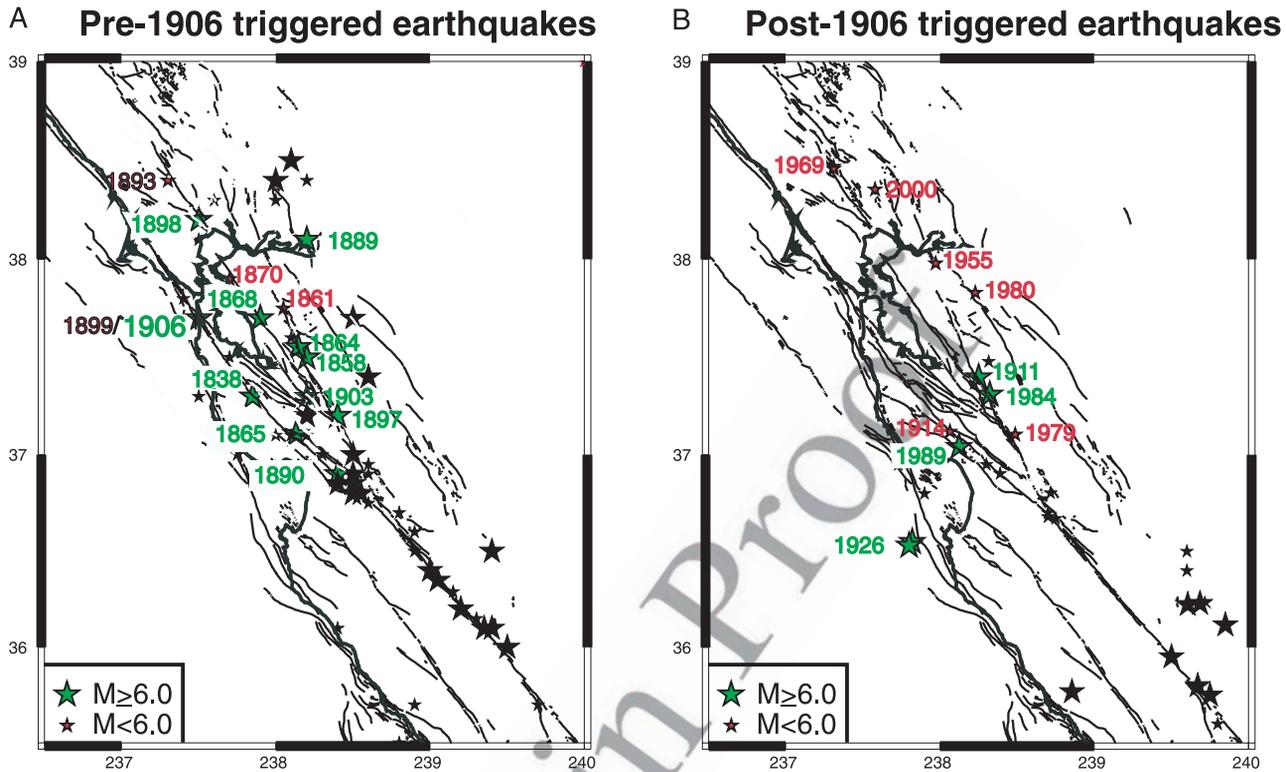
374 [16] Remaining larger historical earthquakes have generally better constrained source properties because (beginning with the 1868 Hayward fault event) they are constrained by geodetic data. For the 1868 earthquake we use the fault model of *Yu and Segall* [1996], for the 1906 San Francisco we use the distributed slip model of *Thatcher et al.* [1997], and for the 1989 Loma Prieta earthquake we use the two-plane fault model of *Marshall et al.* [1991]. The 1892 Winters-Vacaville earthquakes (two  $M \sim 6.4$  earthquakes) are not constrained by geodetic data, but both the magnitude and approximate fault geometry [*O'Connell et al.*, 2001] are known well enough to make them useful source faults. For smaller earthquakes the reports of shaking improved greatly after about 1850 as the population increased owing to the gold rush, leading to better inferences of epicenter locations. The key unknown is the depth extent of faulting which controls to a large extent not only the coseismic deformation field but also the nature of postseismic relaxation, which is very sensitive to the distance between the base of the fault and the top of the ductile zone (i.e., top of the lower crust). We assume that large events penetrate the entire elastic layer from 0 to 15 km depth, which is approximately the seismogenic layer thickness [*Hole et al.*, 2000], but smaller events rupture a more limited depth extent according to their moment [e.g., *Bakun*, 1998, 1999]. A second source of deformation is steady creep on faults. We describe the creeping portions of SFBF faults with the fault segments shown in Figure 6. We specify a priori the depth range and rate of slip on these faults as follows: Hayward fault, 0–5 km, 5 mm/yr based on *Savage and Lisowski* [1993]; Central San Andreas fault, 0–15 km, variable slip rate

15–35 mm/yr [*Rymer et al.*, 1984]; NW creeping segment, 0–15 km, 12 mm/yr; South Calaveras fault, 0–15 km, 12 mm/yr [*Oppenheimer et al.*, 1990]. The velocity field produced by steady creep of these segments is evaluated in the fluid limit of the viscoelastic model in a spherical geometry using the method of *Pollitz* [1996].

[17] Specification of the above sources of deformation in combination with the viscoelastic structure completely determines the deformation field as described in section 2.1. After determining time-dependent  $v_1(t)$  and  $\Omega(t)$  we may evaluate how well the boundary conditions on the Pacific and SNGV plate edges have been satisfied. Figure 7 shows the model velocity field evaluated on both the Pacific-PBZ and SNGV-PBZ edges, resolved into those components parallel to and perpendicular to the relative plate motion direction. Except for the area north of San Francisco during the first few decades following 1906 (where relaxation effects were very strong because of the large slip in the north Bay), all velocities within the



**Figure 7.** Model velocity field evaluated on the Pacific-PBZ and SNGV-PBZ edges (shown in Figure 2) as a function of distance from San Francisco (on the Pacific-PBZ edge). The velocity field is resolved into its components parallel to and perpendicular to the local plate boundary azimuth. Grey boxes delineate those velocities that are within 2 mm/yr of the exact boundary conditions: 38 mm/yr PBZ-parallel motion and 3 mm/yr PBZ-perpendicular motion on the Pacific-PBZ edge.



**Figure 8.** Pre-1906 (a) and post-1906 (b) potentially triggered earthquakes. Locations and magnitudes are from *Bakun* [1998, 1999] and *Topozada and Branum* [2002]. Black stars show other earthquakes listed in the *Topozada and Branum* catalog which we do not consider. The great majority of these are located on the creeping portions of the Calaveras and San Andreas faults south of 37°N. The triggered earthquakes shown in Figure 8b include all pre-1906 earthquakes of magnitude  $M \geq 5.8$  and post-1906 earthquakes of magnitude  $M \geq 5.5$ , with the exception of the  $M_{5.2}$  Yountville earthquake. Note that the 1865 earthquake is placed in accordance with scenario B of *Bakun* [1998].

424 SFBR are within 2 mm/yr of the exact boundary con- 448  
 425 ditions (38 mm/yr PBZ-parallel motion and 3 mm/yr fault- 449  
 426 perpendicular motion on the Pacific-PBZ edge; zero motion 450  
 427 on the SNGV-PBZ edge).

### 429 3. Stress Evolution 450

#### 430 3.1. Coulomb Failure Stress 451

431 [18] We define the time-dependent coulomb failure func- 452  
 432 tion [*Reasenber and Simpson*, 1992; *King et al.*, 1994; 453  
 433 *Stein*, 1999] (representing the total change in Coulomb 454  
 434 failure stress accumulated since an initial time: 455

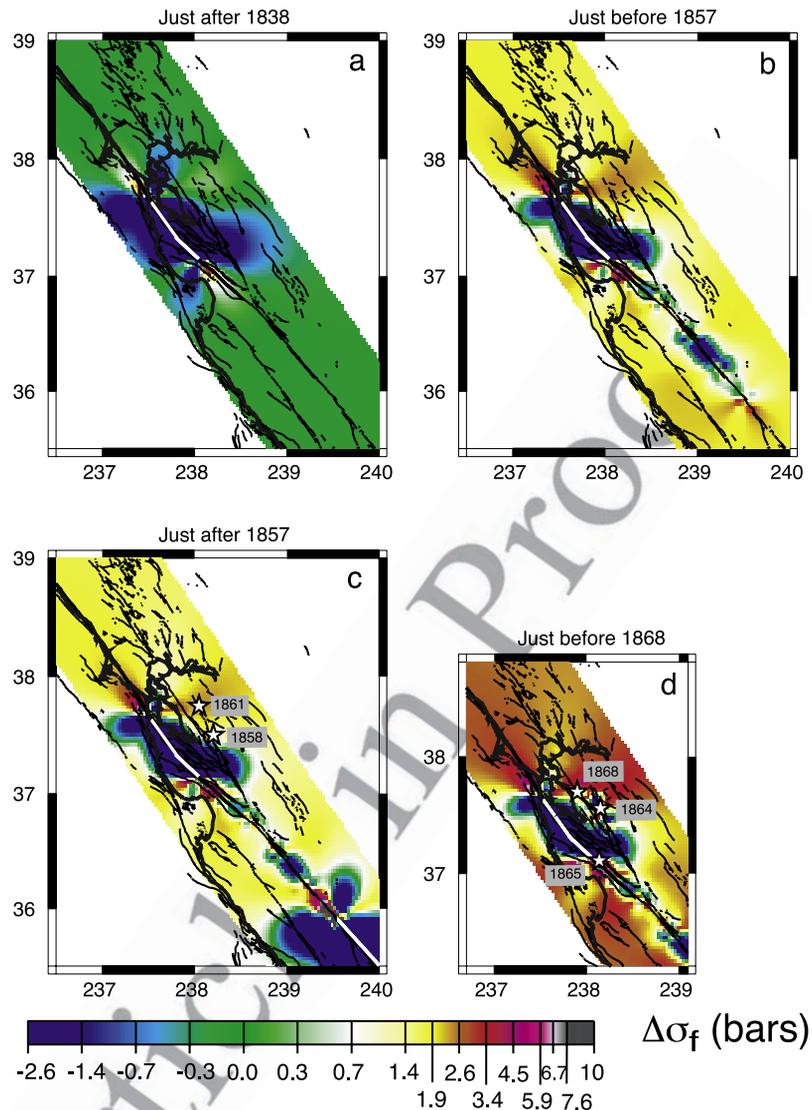
$$\sigma_f(\mathbf{r}, t) = \tau(\mathbf{r}, t) + \mu' \sigma_n(\mathbf{r}, t) \quad (3)$$

436 where  $\tau$  and  $\sigma_n$  represent the shear and normal stress 461  
 437 (positive tensile) resolved on a given secondary fault plane 462  
 438 with prescribed slip vector, respectively, and  $\mu'$  is the 463  
 439 effective coefficient of friction. Both  $\tau$  and  $\sigma_n$  are 464  
 440 determined from the displacement field (equation (2)) and 465  
 441 the secondary fault geometry. Since  $\sigma_f$  here represents 466  
 442 accumulated stresses since 1838, we take  $t_0 = 1838$  in 467  
 443 equation (2). We fix the geometry of secondary faults to be 468  
 444 vertical N34°W trending planes that undergo right-lateral 469  
 445 slip. Although the secondary fault trends in the study area 470  
 446 vary from N20°W to N42°W, the choice of N34°W is found 471  
 447 to adequately capture the resulting stress patterns. The 472  
 473

coefficient of friction may vary from 0 to 0.8 [*Stein*, 1999], 448  
 and for concreteness we choose the value  $\mu' = 0.4$ . 449

#### 450 3.2. Potentially Triggered Earthquakes 451

[19] Figure 8 displays potentially triggered earthquakes 451  
 considered in this study. These include all earthquakes of 452  
 magnitude  $M \geq 5.8$  prior to 1906 and  $M \geq 5.5$  subsequent 453  
 to 1906. These cutoffs were chosen to enable selection of 454  
 pre-1906 earthquakes with reasonably well-understood rup- 455  
 tures (many  $M \sim 5.5$  pre-1906 events listed by *Bakun* 456  
 [1999] have poorly determined locations), and at the same 457  
 time capture significant post-1906 earthquakes. Most of the 458  
 $M \geq 5.5$  post-1906 earthquakes have occurred during the 459  
 instrumental recording period, and most have occurred on 460  
 fault segments that are considered fully locked rather than 461  
 creeping (two exceptions are the 1979 Coyote Lake and 462  
 1894 Morgan Hill earthquakes). Many of the potentially 463  
 triggered earthquakes are themselves source earthquakes. 464  
 We have not included the 1892 Winters-Vacaville earth- 465  
 quakes, which are likely blind thrust events [*O'Connell et* 466  
*al.*, 2001] as triggered events because they are practically 467  
 isolated events, very distant from the considered earlier 468  
 events. For example, depending on dip of the 1892 ruptures, 469  
 postseismic  $\sigma_f$  from the 1868 earthquake can be either 470  
 positive or negative with magnitude  $\sim 0.05$  bars. We have 471  
 purposefully excluded events on the creeping sections of the 472  
 central San Andreas and southernmost Calaveras faults 473



**Figure 9.** Evolution of  $\sigma_f$  (accumulated since 1838) at a depth of 8 km depicted in a series of snapshots. Superimposed are the epicenters of potentially triggered earthquakes that occurred close to the time of the given snapshot. White lines show the surface projections of fault planes associated with source faults that ruptured prior to the given time. Note a change of color scale between pre-1906 and post-1906 stress patterns. Contours associated with 1989 coseismic stress change are indicated with numerals in bars.

474 because of the elevated background seismicity rates on  
 475 those segments. The remaining events are likely predomi-  
 476 nantly right-lateral strike-slip earthquakes on vertical or  
 477 near-vertical faults trending from N20°W to N42°W.

### 478 3.3. Stress Evolution Since 1838

479 [20] The pattern of  $\sigma_f$  at a depth of 8 km at selected times  
 480 is shown in Figures 9a–9q. The various subplots include the  
 481 locations of potentially triggered earthquakes that occurred  
 482 at approximately the time of the snapshot plus the source  
 483 planes which contributed to modeled  $\sigma_f$  up to that time. One  
 484 may systematically track the evolution of stress starting  
 485 with the 1838 earthquake. The  $\sigma_f$  pattern at time 1838<sup>+</sup>  
 486 (Figure 9a, where superscript plus indicates just after the  
 487 1838 earthquake) is the coseismic stress change associated  
 488 with the earthquake. It contains the expected large negative  
 489  $\sigma_f$  (“shadow”) regions surrounding the fault, positive lobes

off the tips of the fault, and secondary positive and negative  
 490 lobes adjacent to the fault tips reflecting the effect of the  
 491 normal stress change (unclamping and clamping effects).  
 492 The  $\sigma_f$  pattern in 1857<sup>-</sup> (Figure 9b, where superscript minus  
 493 indicates just before the 1857 earthquake) has evolved  
 494 owing to the combined effects of tectonic loading, steady  
 495 fault creep, and postseismic relaxation of the lower crust  
 496 and upper mantle. These effects are nearly independent of  
 497 one another but slightly coupled because each contributes  
 498 to the relative velocity at the PBZ edges, so that the  $v_1(t)$   
 499 term of equation (2) is coupled to the  $v_{cr}$  and  $v_{ps}$  terms. The  
 500 loading effect imparts positive  $\sigma_f$  to the entire region, while  
 501 the postseismic relaxation effect leads to increased  $\sigma_f$  near  
 502 the fault but decreased  $\sigma_f$  more than  $\sim 15$  km from the fault.  
 503 At distances less than 20–30 km from the fault, the  
 504 relaxation effect dominates because the shadow clearly  
 505 grows outward. On the other hand, the combined effects  
 506

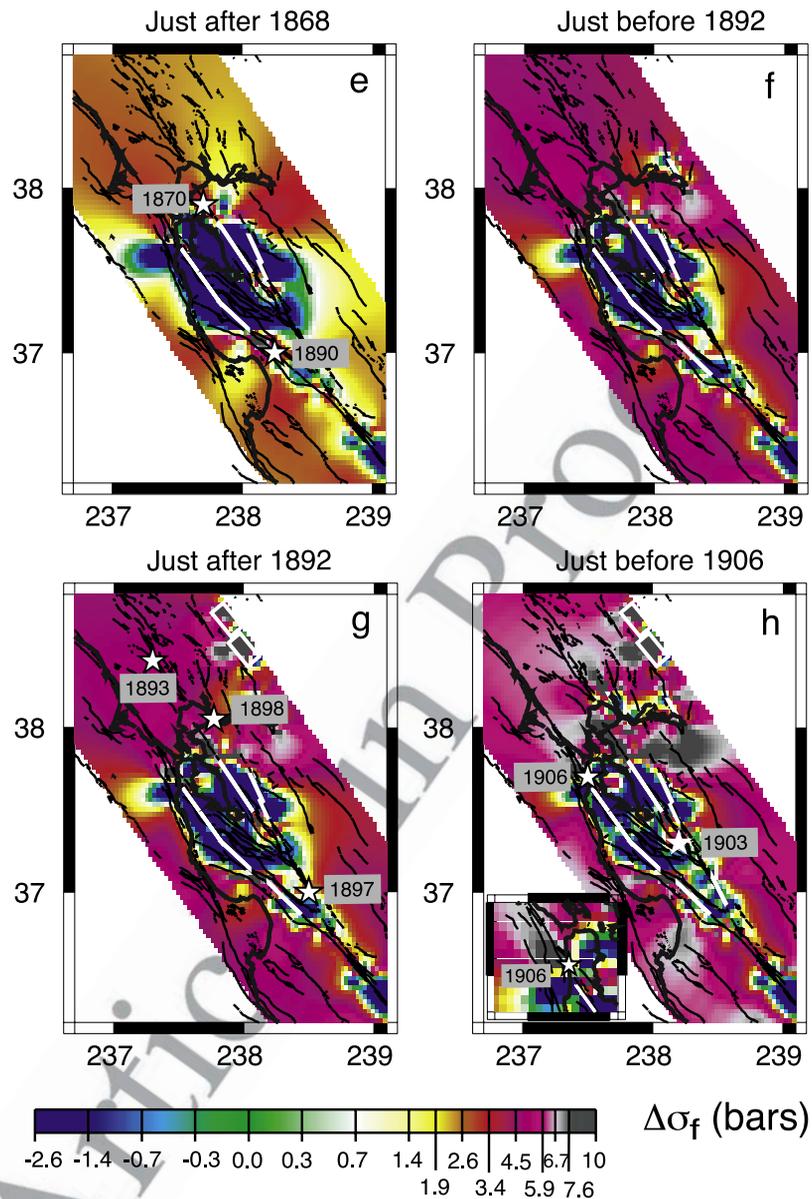


Figure 9. (continued)

507 of loading and relaxation tend to rapidly reload the neigh- 525  
 508 borhood of the fault zone. The relatively rapid erosion of 526  
 509 the shadow zone near the fault, where the shadow is initially 527  
 510 the strongest, is a self-stabilizing property of this type of 528  
 511 viscoelastic coupling model [Savage and Prescott, 1978; 529  
 512 Pollitz, 2001].

513 [21] Continuing forward in time, one sees a slight differ- 530  
 514 ence in  $\sigma_f$  between 1857<sup>+</sup> (Figure 9c) and 1857<sup>-</sup> (Figure 9b) 531  
 515 that is just the coseismic deformation field of the 1857 532  
 516 earthquake. Just after 1857, although the San Francisco 533  
 517 Peninsula region lies in a deep shadow, much of the East 534  
 518 Bay is in a zone of stress concentration. A few moderate 535  
 519 earthquakes occurred around that time (1858, 1861, 1864) 536  
 520 in this relatively high  $\sigma_f$  zone. At time 1868<sup>-</sup> (Figure 9d) 537  
 521 two larger earthquakes apparently nucleate in relatively high 538  
 522  $\sigma_f$  zones: the 1865  $M = 6.5$  and 1868  $M = 7.0$  events. The 539  
 523 location of neither epicenter is certain. The 1865 event is 540  
 524 particularly unclear since there was no ground rupture 541  
 542

associated with the event and shaking data alone allow a 525  
 location either in the southern Santa Cruz Mountains (where 526  
 we have placed it) or farther north near the Berrocal fault 527  
 zone [Bakun, 1999]. Triangulation data analyzed by Yu 528  
 and Segall [1996] suggest a thrust faulting mechanism on a 529  
 a NW-SE trending fault located somewhere between the 530  
 southern Santa Cruz mountains and Berrocal fault zone in 531  
 order to produce northeastward displacement of a triangulation 532  
 station at Loma Prieta, hence our tentative choice of 533  
 location. If it was indeed located on a thrust structure near 534  
 the San Andreas fault and south of 37.1°N, then it would lie 535  
 in a zone of elevated  $\sigma_f$ . Regarding the 1868 Hayward 536  
 earthquake, the associated fault is unambiguously the 537  
 Hayward fault based on observed surface rupture, and the 538  
 extent of the fault involved in the rupture is constrained by 539  
 geodetic data to be about a 52 km part of the southern 540  
 Hayward fault [Yu and Segall, 1996]. The only rationale for 541  
 placing the nucleation zone near the northern part of the 542

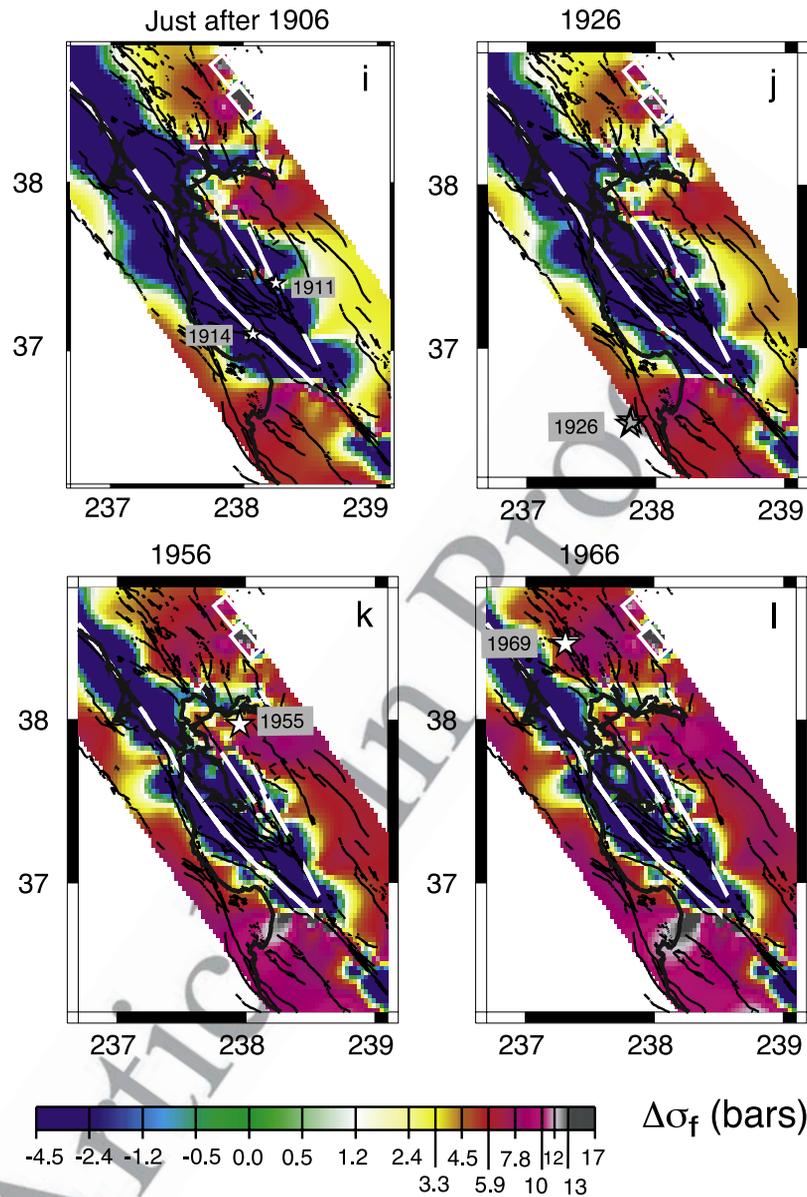


Figure 9. (continued)

543 rupture is that creep rates along the Hayward fault decrease  
 544 toward the north, which have been interpreted by *Simpson*  
 545 *et al.* [2001] as a shallowing of the locked zone toward the  
 546 north. According to our model, the highest  $\sigma_f$  at the time of  
 547 the 1868 earthquake was on the northern part of the  
 548 impending rupture, so that an epicenter location there would  
 549 be well correlated with relatively high  $\sigma_f$ . Given the uncertain  
 550 locations of the nucleation points of the 1865 and 1868  
 551 ruptures, a positive correlation of the true location with  
 552 modeled stress changes cannot be claimed, and the positive  
 553  $\sigma_f$  obtained for these two earthquakes neither supports nor  
 554 contradicts the more robust correlations obtained for other  
 555 19th century events.

556 [22] After the 1868 earthquake (Figure 9e) a shadow zone  
 557 enveloped the San Francisco Peninsula and most of the East  
 558 Bay, but pockets of high  $\sigma_f$  remained in the south Bay, and  
 559 the north Bay stress level simply continued to increase  
 560 because of tectonic loading effects and the lack of stress  
 561 release in the area. From 1870 up to the time of the 1906

562 earthquake (Figures 9e–9h), many earthquakes occurred in  
 563 the south Bay and north Bay, preferentially avoiding the  
 564 substantially decreased  $\sigma_f$  area that continued to envelope  
 565 the central Bay owing to the 1838 and 1868 earthquakes.  
 566 Choosing a nucleation point of the 1906 earthquake near the  
 567 Golden Gate [*Wald et al.*, 1993], as seen in the 1906<sup>-</sup>  
 568 snapshot (Figure 9i), the 1906 earthquake nucleated in a  
 569 point of elevated  $\sigma_f$  because the Golden Gate area was likely  
 570 at the northern tip of the 1838 rupture. By that time, much  
 571 of the 1838 stress shadow of the peninsula had been eroded,  
 572 but more importantly the northern San Andreas fault (north  
 573 of the Golden Gate) was under very high stress because of  
 574 the lack of stress release in the north Bay during the  
 575 preceding decades, compounded by postseismic relaxation  
 576 effects from the 1838 and 1892 earthquakes which loaded  
 577 the northern San Andreas fault even more (the 1838  
 578 earthquake through enhanced  $\tau$ , the 1892 earthquakes  
 579 through enhanced  $\sigma_n$ , i.e., unclamping of the San Andreas  
 580 fault). The primary feature of the actual slip distribution of

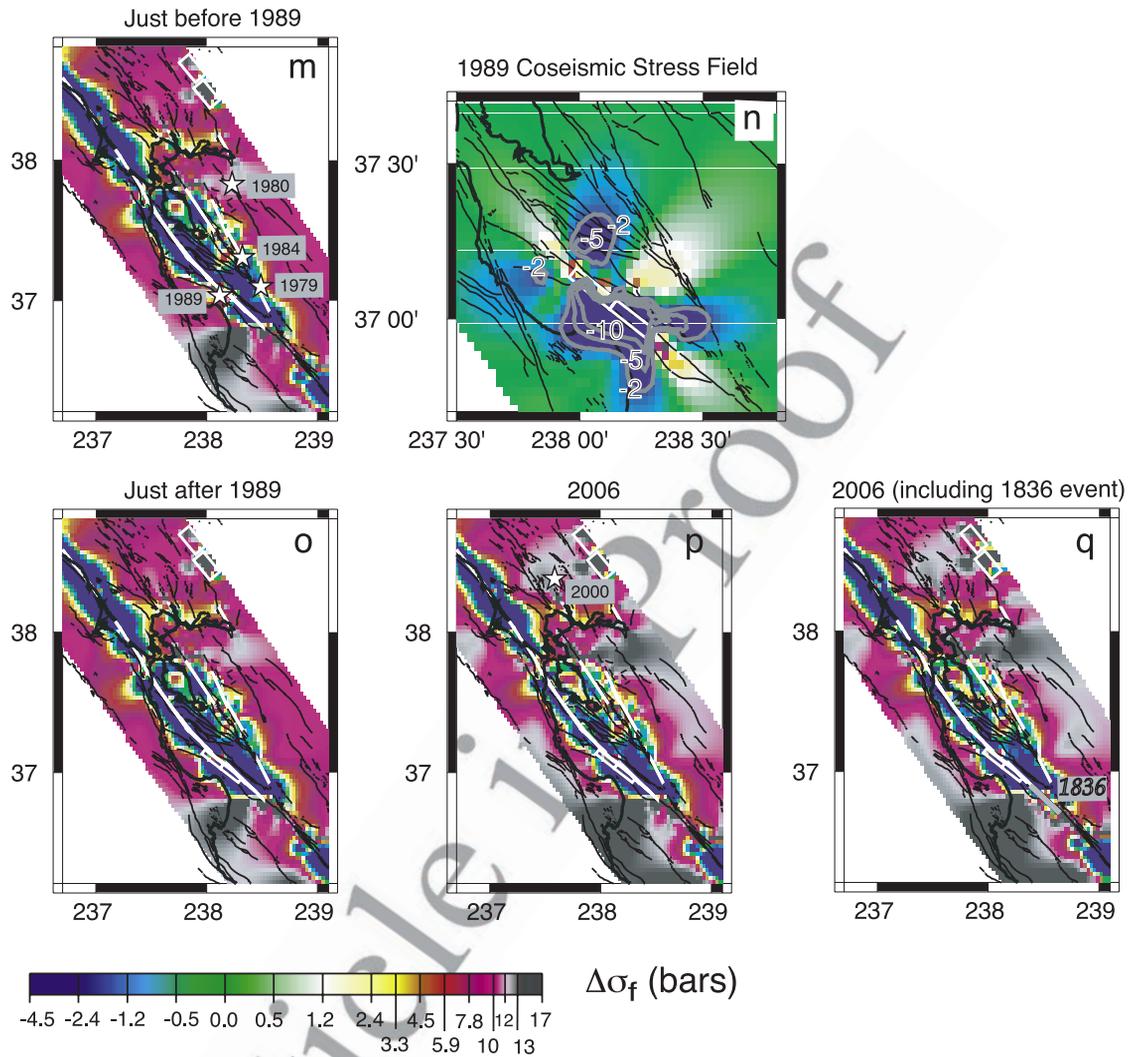


Figure 9. (continued)

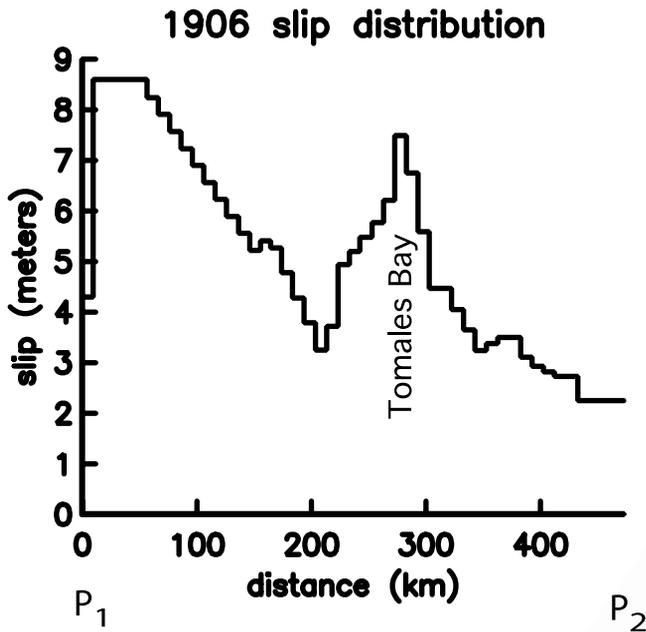
581 the 1906 earthquake (Figure 10) is the much larger slip  
 582 north of the Golden Gate than to its south. From the 1906<sup>-</sup>  
 583 snapshot (Figure 9h), this feature is clearly correlated with  
 584 the  $\sigma_f$  pattern predicted just before the 1906 earthquake.

585 [23] The occurrence of the 1906 San Francisco earth-  
 586 quake enveloped practically the entire region in a large  
 587 stress shadow. As seen in the 1906<sup>+</sup> and subsequent snap-  
 588 shots (Figures 9i–9l), this shadow persisted for several  
 589 decades, and seismicity rates plunged for about 70 years  
 590 after the earthquake. Beginning around 1980 (Figure 9m)  
 591 the SFBR began to emerge from the 1906 stress shadow.  
 592 The northern part of the East Bay, the north Bay sufficiently  
 593 east of the San Andreas fault, and the southern Santa Cruz  
 594 Mountains regions emerged most prominently because their  
 595 associated stress levels were already elevated several bars  
 596 above the regional average even just after the 1906 earth-  
 597 quake (Figure 9i). It is noteworthy that this pattern was  
 598 largely inherited from the pre-1906 rupture history, i.e.,  
 599 many of the features of the stress pattern seen in the 1906<sup>-</sup>  
 600 snapshot (Figure 9i) persist up to the present time. In the  
 601 central part of the north Bay in the vicinity of the 2000  
 602 Yountville  $M = 5.2$  earthquake,  $\sigma_f$  increased more than  
 603 surrounding areas owing to the off-fault effect of the slip

604 peak of the 1906 earthquake near Tomales Bay (Figure 10).  
 605 By 1980 it is clear that according to the model, much of the  
 606 SFBR region had emerged from the stress shadow, and the  
 607 increase in seismicity rate in the 1980s is consistent with  
 608 that result.

#### 4. Discussion

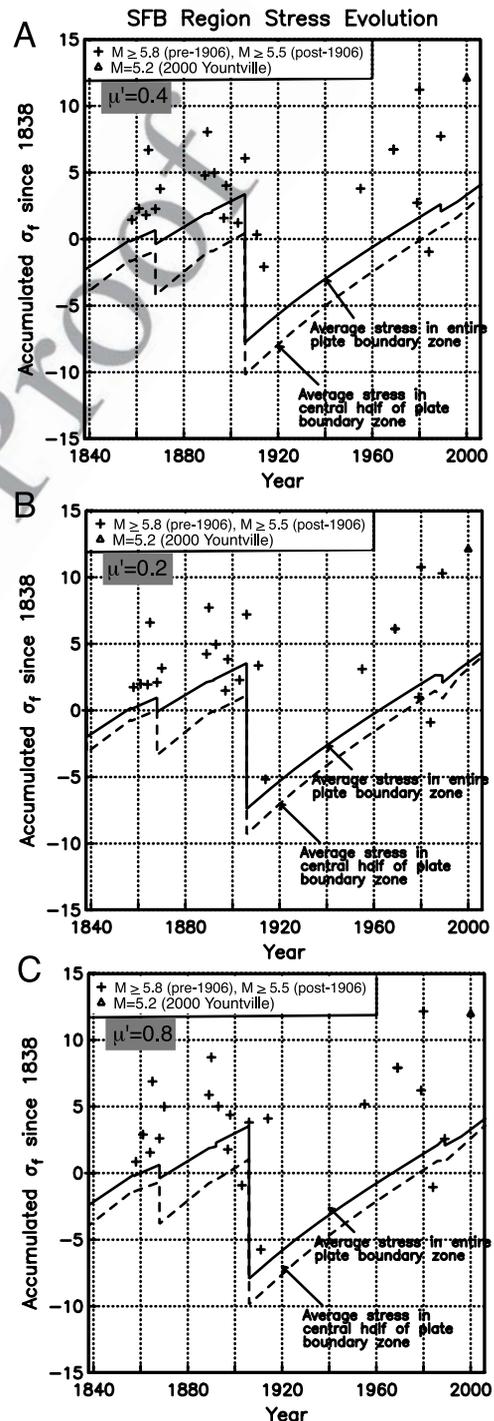
610  
 611 [24] A useful way to summarize the stress evolution in the  
 612 SFBR since 1838 is to track the average stress of the region  
 613 through time, enabling us to characterize potential source  
 614 regions in terms of those areas which had stress levels above  
 615 or below the regional average. For three possible values of  
 616 the effective coefficient of friction, Figure 11 shows the  
 617 average  $\sigma_f$  in a region that encompasses the whole PBZ  
 618 (solid line in each subplot) or the central half of the PBZ  
 619 (dashed line in each subplot). The second measure is  
 620 generally a few bars below the first measure because the  
 621 central half of the PBZ samples mostly the active faulting  
 622 areas and hence more of those areas strongly affected by the  
 623 stress shadows from the 1838, 1868, and 1906 events.  
 624 Figure 11 includes model  $\sigma_f$  at the times and locations of  
 625 all 22 potentially triggered earthquakes. We find that almost



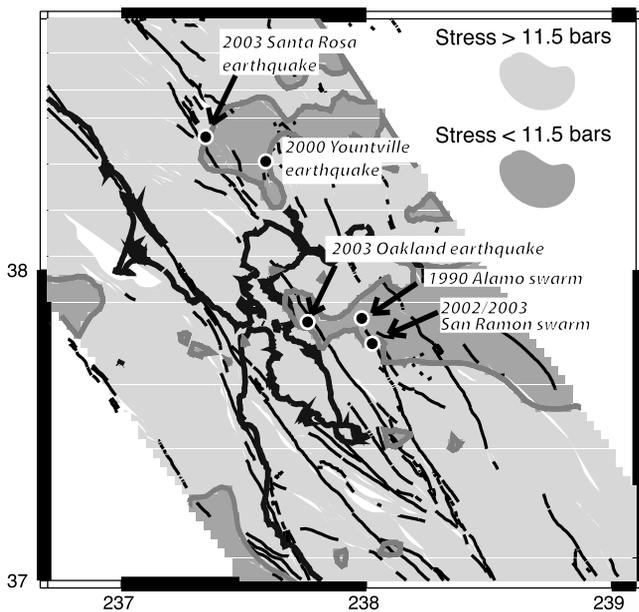
**Figure 10.** Slip distribution of the 1906 San Francisco earthquake [Thatcher *et al.*, 1997].  $P_1$  and  $P_2$  correspond to the northern and southern San Andreas fault endpoints indicated in Figure 2.

626 all potentially triggered earthquakes occurred in regions  
 627 elevated 5 to 10 bars above the regional average. Since  
 628 any earthquake occurring within the part of the PBZ  
 629 occupied by faults has an equal chance of lying above or  
 630 below the dashed line, this indicates a systematic pattern  
 631 of historical earthquake occurrence which is extremely  
 632 unlikely to have occurred by chance. (The probability of  
 633 19 of 22 events lying in a positive  $\sigma_f$  region by chance is  
 634 0.04%.) This pattern is produced regardless of the value of  
 635 the effective coefficient of friction. This confirms the  
 636 marked tendency displayed in the stress evolution plots  
 637 (Figures 9a–9q): historical and contemporary SFB  
 638 earthquakes are systematically located away from shadows  
 639 zones. This tendency is manifested equally for both  
 640 pre-1906 and post-1906 earthquakes. It suggests that the  
 641 constructive and destructive interference patterns created by  
 642 the melange of 19th century earthquakes and the 1906  
 643 earthquake are to first order captured by our physical  
 644 model. It further suggests that our model carries predictive  
 645 power for where moderate to large earthquakes are likely to  
 646 occur in the future. An absolute stress level of zero in  
 647 Figure 11 is a meaningful reference point: it is the absolute  
 648 stress level of the inner PBZ (dashed line in Figure 11a) just  
 649 before the 1906 earthquake. Given that the SFB was very  
 650 active in the 40 years prior to 1906 (seven  $M \geq 6.2$  events  
 651 between 1868 and 1906), when  $\sigma_f$  was at or below this level,  
 652 a recent return to this level would imply a return to  
 653 conditions when  $M \geq 6.2$  earthquakes were occurring  
 654 relatively frequently. If correct, our model predicts that  
 655 the SFB emerged from the 1906 stress shadow in 1980,  
 656 and since then average stress levels are comparable with  
 657 those that prevailed in the few decades prior to 1906. This  
 658 rationalizes *Bakun's* [1999] observation that the post-1977  
 659 moment release rate is roughly equal to the moment release

rate during the 56 years preceding the 1906 earthquake. The  
 660 recurrence time for the 1906 earthquake is thought to be  
 661 about 250 years. We note that with a slip accumulation rate  
 662 of about 30 mm/yr, the 80 years time needed to erode the  
 663



**Figure 11.** Regionally averaged  $\sigma_f$  within an area encompassing the entire PBZ (region ABCD in Figure 2), shown by the solid line, or within the central half of the PBZ (region A'B'C'D' in Figure 2), shown by the dashed line. The crosses represent model  $\sigma_f$  at the times and locations of the 22 potentially triggered earthquakes shown in Figure 8. Figures 11a, 11b, and 11c show results for the indicated values of effective coefficient of friction.



**Figure 12.** Areas of greatest stress concentration in 2003 as predicted by the model. Locations of several moderate earthquakes are superimposed: the 3 September 2000 Yountville  $M = 5.2$  earthquake, the 25 May 2003 Santa Rosa  $M = 4.3$  earthquake, the 5 September 2003  $M = 4.2$  Oakland earthquake, the 1990 Alamo swarm (several earthquakes of magnitude from 3.0 to 4.5), and the 2002/2003 San Ramon swarm (several earthquakes of magnitude from 3.0 to 4.2).

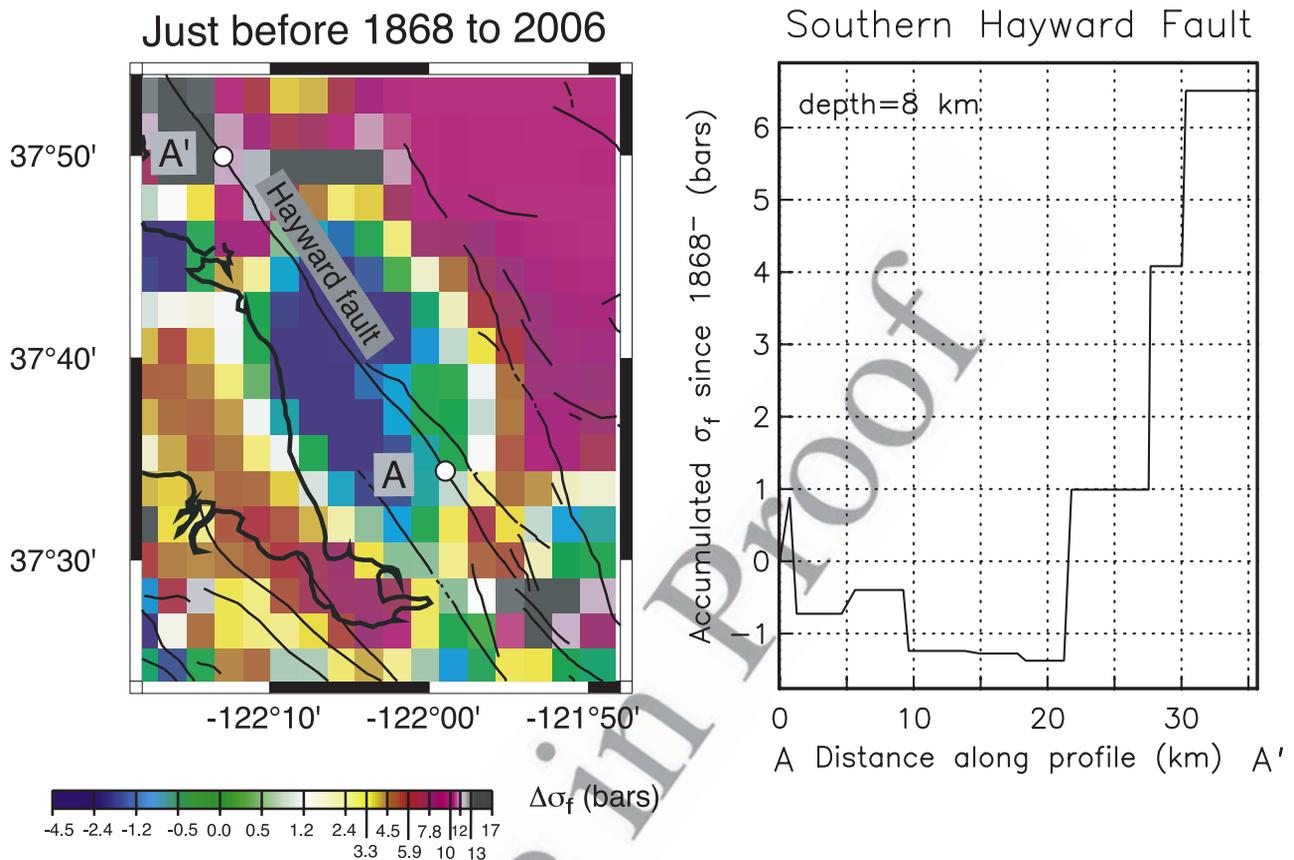
664 shadow is consistent with the average 2.5 meters slip in  
665 1906 south of the Golden Gate [Thatcher *et al.*, 1997].

666 [25] The earthquake history assumed here is based on  
667 fragmentary information for most 19th century events. We  
668 have assumed that the northern extent of the 1838 rupture  
669 is just south of the Golden Gate. However, it is possible  
670 that the 1838 rupture extended only slightly farther north  
671 than Woodside, where likely 1838 slip is documented  
672 [Toppozada and Borchardt, 1998; Bakun, 1999]. The pre-  
673 cise location of the northern termination carries implications  
674 for triggering of the 1868 and 1906 earthquakes as well as  
675 the long-lived stress pattern in the East Bay. A northern  
676 1838 termination as far south as Woodside would reduce  $\sigma_f$   
677 near the Golden Gate at the time of the 1906 earthquake, but  
678 with postseismic relaxation effects the Golden Gate area  
679 (where the 1906 rupture is thought to have nucleated) would  
680 still be perturbed several bars positive relative to surround-  
681 ing regions. A northern termination located farther south  
682 than we have assumed would also reduce the short-term and  
683 long-term  $\sigma_f$  on the central Hayward and northern Calaveras  
684 faults and enhance the  $\sigma_f$  on the southern Hayward and  
685 central Calaveras faults. This is because the  $\sigma_f$  pattern in the  
686 East Bay imparted by the 1838 earthquake arises primarily  
687 from the normal stress change, the position of which is  
688 controlled by the 1838 fault endpoints. In the short term,  
689 higher  $\sigma_f$  on the southern Hayward fault in the years  
690 following 1838 is still consistent with triggering of the  
691 1868 earthquake. In the long-term, higher  $\sigma_f$  on the central  
692 Calveras fault imparted by the 1838 earthquake, projected

up to the present time, would complement relatively high  $\sigma_f$   
693 on the northern Calaveras fault imparted by the 1868  
694 earthquake. In this case, the positive correlations of the  $\sigma_f$   
695 pattern with the post-1906 history of earthquake occurrence  
696 in the East Bay (Figure 11) remain strong.  
697

[26] It is possible to reverse the reasoning pattern if  
698 we seek to understand the geometry of historic ruptures.  
699 The northern terminations of both the 1838 and 1868  
700 earthquakes are uncertain, but their respective locations  
701 combined have a profound effect on resulting East Bay  
702 stress patterns throughout time. If positive stress correla-  
703 tions in the record of East Bay earthquakes are considered  
704 indicative of a plausible stress evolution model, then the  
705 earthquake pattern itself may potentially provide a useful  
706 guide to the fault endpoints of important, yet poorly con-  
707 strained historic ruptures. From this point of view, the  
708 positive  $\sigma_f$  correlations that are consistently obtained for  
709 the triggered East Bay events (Figures 9a–9q, 11, and 12)  
710 suggest that the chosen northern terminations of the 1838  
711 and 1868 events are consistent with our explanation of  
712 subsequent seismicity.  
713

[27] Additional shortcomings of our modeling are that we  
714 have neglected the effects of large earthquakes that occurred  
715 in 1836 and 1865. Different possible scenarios for the  
716 locations and fault geometries of these earthquakes are  
717 presented by Bakun [1998]. A location of the  $M \sim 6.5$   
718 1865 earthquake on the SAF (scenario B of Bakun [1998]),  
719 possibly coinciding with the Loma Prieta rupture zone,  
720 cannot be ruled out, and it would be consistent with  
721 triggering from the 1838 earthquake (Figure 9d). Triangu-  
722 lation data hint at scenario A of Bakun [1998] in which the  
723 1865 earthquake occurred near the Berrocal fault zone. In  
724 either case, likely thrust faulting associated with the 1865  
725 event would have resulted in short-term and long-term  
726 stressing of the southern Hayward and central Calaveras  
727 faults. In particular, a location of the 1865 event on the  
728 Berrocal fault would have strongly increased  $\sigma_f$  on the  
729 southern Hayward fault (by a few bars) at the time of  
730 the 1868 earthquake, and correspondingly larger  $\sigma_f$  would  
731 consequently persist up to the present time. The  $M \sim 6.5$   
732 1836 earthquake may have occurred on either the SAF  
733 (scenario B of Bakun, 1998) or Sargent fault (scenario A of  
734 Bakun [1998]) near Monterey Bay. In either case, inclusion  
735 of the regional stress perturbations resulting from a 1836  
736 source would reduce  $\sigma_f$  on faults southwest of the SAF. This  
737 is the location of a predicted local stress maximum (e.g.,  
738 2006 snapshot in Figure 9p), which arises from the fact that  
739 strain accumulation within the PBZ (distributed 38 mm/yr  
740 slip rate) is not completely relieved by the creep along the  
741 NW creeping segment of the SAF and the Calaveras fault,  
742 which totals only 24 mm/yr in our model (Figure 6).  
743 Inclusion of a 1836 event near Monterey Bay would help  
744 reduce the buildup of stress that cannot be achieved through  
745 fault creep alone. To test this idea we implemented an  
746 1836 source similar to scenario B of Bakun [1998], but  
747 with the fault shifted about 10 km to the southeast to  
748 remove overlap of it with the 1890 earthquake. The 2006  
749 stress pattern calculated with the additional 1836 source  
750 effects (Figure 9q) yields somewhat reduced stress south-  
751 west of Monterey Bay, but most of the stress buildup  
752 remains. We suggest that either this local stress maximum  
753 is real, or additional dislocations sources in the past have  
754



**Figure 13.** Predicted  $\sigma_f$  in 2006 accumulated since just before the 1868 Hayward fault earthquake.

755 helped dissipate it, such as accelerated creep along the local  
 756 SAF or numerous slow earthquakes which are known to  
 757 have recently affected the region [Linde *et al.*, 1996].

758 [28] We present a simplified view of present-day stress in  
 759 Figure 12, where we delineate which regions are above and  
 760 below a certain  $\sigma_f$  value. The high- $\sigma_f$  regions are considered  
 761 to represent areas of present-day stress concentration.  
 762 Although no large ( $M \geq 5.5$ ) events have occurred in the  
 763 region since the 1989 Loma Prieta earthquake, the locations  
 764 of several recent moderate earthquakes are consistent with  
 765 predicted areas of stress concentration. It is noteworthy that  
 766 with the exception of the 5 September 2003 Oakland  
 767 earthquake, all of the recent events have occurred on  
 768 essentially locked segments of the Calaveras fault or north  
 769 Bay faults (Napa fault, Rodgers Creek fault).

770 [29] *Topozada et al.* [2002] have noted that the SFBR  
 771 has been almost entirely devoid of  $M > \sim 5$  earthquakes  
 772 since the 1989 Loma Prieta earthquake. Although the region  
 773 is on average as highly stressed as it was during the decades  
 774 preceding 1906, the distribution of stress is different,  
 775 presently being more concentrated in the East Bay rather  
 776 than the west Bay (Peninsula) as it was before 1906. The  
 777 effect of the 1989 earthquake was to reduce  $\sigma_f$  not only  
 778 within the 1989 fault zone but also on parts of the Berrocal,  
 779 southern Hayward, and southern Calaveras faults (Figure  
 780 9n). These are among the few regions in the southern SFBR  
 781 that were highly stressed prior to 1989, so it is conceivable  
 782 that the coseismic stress change of the 1989 earthquake  
 783 particularly affected those areas that were otherwise most  
 784 likely to rupture. The only significant areas of positive  $\sigma_f$

785 imparted by the 1989 earthquake are on the Calaveras fault  
 786 near Morgan Hill, where stress levels had already been  
 787 reduced by the 1984 Morgan Hill earthquake, and the San  
 788 Andreas fault near San Juan Bautista. This part of the  
 789 San Andreas fault, which creeps at about 12 mm/yr, has  
 790 been the most seismically active part of the SFBR, e.g., the  
 791 12 August 1999 San Juan Bautista  $M = 5.1$  earthquake  
 792 [Uhrhammer *et al.*, 1999]. Thus the near absence of  $M > 5$   
 793 regional earthquakes since 1989 may to a large extent  
 794 reflect the temporary reduction of stress on active parts of  
 795 the southern Hayward and Calaveras faults.

796 [30] Stress heterogeneity must have existed in the region  
 797 prior to 1838, and this of course complicates any interpretation  
 798 of even relative stress levels in terms of seismic  
 799 potential. For example, the southern Hayward fault which  
 800 ruptured with a large earthquake in 1868 was obviously  
 801 only 30 years from releasing a large amount of built-up  
 802 stress in 1838. Since this fault ruptures fairly regularly with  
 803 a recurrence time of about 130 years [Lienkaemper *et al.*,  
 804 2002], one should expect its present stress level (we are  
 805 presently 135 years since the last rupture) to be comparable  
 806 to the stress levels which existed in 1868. Figure 13  
 807 suggests that this is the case: about one third of the southern  
 808 Hayward fault is presently  $\sim 1-6$  bars more greatly stressed  
 809 than it was just prior to the 1868 earthquake, and the  
 810 remainder is only  $\sim 1.5$  bars less stressed, and even these  
 811 areas would be predicted to attain pre-1868 stress levels in  
 812 an additional 15 years. Thus the stress evolution model is  
 813 consistent with the known recurrence interval of the Hay-  
 814 ward fault but can only shed light on its stress state relative

to that which existed just prior to 1838. In principle, one could use the history of past rupture on the Hayward, Rodgers Creek, and other faults to calibrate the initial stress state that existed at the 1838 initiation time, assuming that each last previous large rupture on these distinct faults occurred at similar absolute stress levels, although this cannot be known with certainty. Some guidance is provided by the fact that no  $M \geq 7$  earthquakes occurred in the SFBR between 1776 and 1836 [Ellsworth, 1990]. Most of the region may have been in a half-century-long stress shadow during this time because of large events inferred to have occurred on the Rodgers Creek, North Hayward, South Hayward, and San Andreas faults from  $\sim 1650$  to  $\sim 1770$  from paleoseismological evidence (D. Schwartz, personal communication, 2003). In addition, assuming that numerous small and moderate earthquakes occurred from 1776 to 1838, it is conceivable that these smaller shocks helped homogenize the stress field prior to about 1838. Such a possibility is suggested by theoretical considerations in which a region that is characterized by intermittent criticality will exhibit an increasingly white wave number spectrum (with respect to stress) with time into a large earthquake cycle [Ben-Zion et al., 2003]. If true, then the stress field just prior to 1838 would have exhibited variations about equally at all spatial scales.

[31] Earthquake probabilities in the SFBR have been estimated using a suite of models [Working Group on California Earthquake Probabilities, 2003], in which integrated information on fault slip histories were interpreted using an empirical model [Reasenberget al., 2003], Poissonian and renewal models. In the latter case, time-dependent fault interaction effects from the 1906 earthquake were incorporated through time advances or delays associated with the coseismic stress step. A more comprehensive treatment of time-dependent stressing effects was not attempted because a suitable physical model was not available. We expect that the regional time-dependent stressing history estimated in this paper will be useful for revising regional earthquake probabilities and allowing more comprehensive time-dependent forecasts in the future.

## 5. Conclusions

[32] Stress evolution in the SFBR is investigated using a simple physical model derived from recent GPS measurements. The main contributing processes to regional strain accumulation are regarded as background Pacific-SNGV loading through horizontally transmitted shear, viscoelastic relaxation of the lower crust and upper mantle following major earthquakes, and steady creep along certain faults. We assume that the SFBR is well characterized as a  $\sim 135$  km wide plate boundary zone with a relatively thin lithosphere surrounded by the relatively thick lithosphere of the Pacific and SNGV plates. Assuming uniform viscoelastic properties of the plate boundary zone, we use a superposition of special solutions to the equations of quasi-static equilibrium, enabling us to describe the evolution of quasi-static displacement with nearly constant Pacific-SNGV relative velocity along the plate boundary zone edges.

[33] This model is evaluated forward in time by integrating the time-dependent stressing rates and the coseismic deformation fields from the major historical earthquakes.

The resulting time-dependent Coulomb failure stress patterns (accumulated  $\sigma_f$  since 1838) are compared with the history of moderate to large earthquakes. We find that nearly all earthquakes occur in areas of stress elevated about 5–10 bars above the regional average. The SFBR is predicted to have emerged from the 1906 stress shadow in about 1980, which is consistent with the acceleration in regional seismicity at about that time following a long period of relative inactivity after the 1906 earthquake. Taken at face value, our physical model predicts that, on average, the SFBR is under the same stress levels that existed during the few decades prior to the 1906 earthquake. Although the detailed distribution of  $\sigma_f$  from 1850 to 1906 compared with post-1980  $\sigma_f$  is different, we suggest that the SFBR seismicity rates should continue at post-1977 levels ( $1.36 \times 10^{18}$  N m/yr) or greater, and the spatial distribution of present-day  $\sigma_f$  is a useful guide to the locations of future moderate to large SFBR earthquakes.

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